

**TOKENSALE.NEIRONIX.IO**  
**AML/KYC POLICY**

## **GENERAL TERMS OF TOKENSALE.NEIRONIX.IO AML/KYC POLICY**

1. This Anti-Money Laundering and Know Your Customer Policy (hereinafter - the "AML/KYC Policy") of Neironix OU, a Company incorporated in Estonia at Tallin, Estonia, Roosinkrantsi 2-K408 (hereinafter "NEIRONIX"), is designated to prevent and mitigate possible risks of NEIRONIX being involved in any kind of illegal activity.
2. Both international and local regulations require NEIRONIX to implement effective internal procedures and mechanisms to prevent money laundering, terrorist financing, drug and human trafficking, proliferation of weapons of mass destruction, corruption and bribery and to take action in case of any form of suspicious activity from its Users.

### **VERIFICATION PROCEDURES**

3. One of the international standards for preventing illegal activity is customer due diligence ("CDD"). According to CDD, NEIRONIX establishes its own verification procedures within the standards of anti-money laundering and "Know Your Customer" frameworks.
4. NEIRONIX identity verification procedure requires the User to provide NEIRONIX with reliable, independent source documents, data or information (e.g., national ID, international passport, bank statement, utility bill). For such purposes NEIRONIX reserves the right to collect User's identification information for the AML/KYC Policy purposes.
5. NEIRONIX will take steps to confirm the authenticity of documents and information provided by the Users. All legal methods for double-checking identification information will be used and NEIRONIX reserves the right to investigate certain Users who have been determined to be risky or suspicious.
6. NEIRONIX reserves the right to verify User's identity in an on-going basis, especially when their activity seemed to be suspicious (unusual for the particular User). In addition, NEIRONIX reserves the right to request up-to-date documents from the Users, even though they have passed identity verification in the past.
7. User's identification information will be collected, stored, shared and protected strictly in accordance with the tokensale.neironix.io Privacy Policy and related

regulations.

8. Once the User's identity has been verified, NEIRONIX is able to remove itself from potential legal liability in a situation where its Services are used to conduct illegal activity.

### **COMPLIANCE OFFICER**

9. The Compliance Officer is the person, duly authorized by NEIRONIX, whose duty is to ensure the effective implementation and enforcement of the AML/KYC Policy. It is the Compliance Officer's responsibility to supervise all aspects of NEIRONIX anti-money laundering and counter-terrorist financing, including but not limited to:

- a) Collecting Users' identification information.
- b) Establishing and updating internal policies and procedures for the completion, review, submission and retention of all reports and records required under the applicable laws and regulations.
- c) Monitoring transactions and investigating any significant deviations from normal activity.
- d) Implementing a records management system for appropriate storage and retrieval of documents, files, forms and logs.
- e) Updating risk assessment regularly.
- f) Providing law enforcement with information as required under the applicable laws and regulations.

10. The Compliance Officer is entitled to interact with law enforcement, which are involved in prevention of money laundering, terrorist financing and other illegal activity.

### **ANTI-MONEY LAUNDERING POLICY**

11. NEIRONIX enforces a strict anti-money laundering policy with zero tolerance for money laundering activities. We define money laundering as any activity that is carried out in an attempt to misrepresent the source of funds actually acquired through illegal processes as funds that were acquired through lawful sources/activities.

12. All NEIRONIX affiliates are obligated to comply with this anti-money laundering policy and internal AML Manuals and with all applicable anti-money laundering laws. Failure to comply can result in severe consequences such as criminal penalties and heavy fines.

13. NEIRONIX ensures complete compliance with laws pertaining to anti money laundering through its related policy.

14. NEIRONIX implements a range of filtration operations for swift and accurate identification of any financial activities that may constitute or are related to money laundering. This helps ensure a money laundering-free financial operations throughout the NEIRONIX Platform.

15. All NRX Tokens Purchasers acknowledge, undertake and agree to the following terms regarding their use of NRX Tokens, opening and maintenance of accounts at [tokensale.neironix.io](https://tokensale.neironix.io) and for all financial transactions as a NEIRONIX client:

1) The Purchaser will comply (throughout the time as an NEIRONIX client) with all relevant statutes pertaining to money laundering and proceeds from criminal activities.

2) NEIRONIX operates under certain obligations known as “know-your-customer” obligations which grant NEIRONIX the right to implement anti-money laundering procedures to help detect and prevent money laundering activities where money laundering may mean to handle any funds associated with any illegal activity regardless of the location of such activity.

3) The Purchaser agrees to lend full cooperation to NEIRONIX with respect to anti-money laundering efforts. This involves providing information that NEIRONIX requests regarding the client’s business details, account usage, financial transactions etc. to help NEIRONIX perform its duties as dictated by Applicable laws regardless of jurisdiction.

4) NEIRONIX reserves the right to delay or stop any funds transfer if there is reason to believe that completing such a transaction may result in the violation of any applicable law or is contrary to acceptable practices.

5) NEIRONIX reserves the right to suspend or terminate any account or freeze the funds in an account if there is reason to believe that the account is being used for activities that are deemed unlawful or fraudulent.

6) NEIRONIX has the right to use client information for the investigation and/or prevention of fraudulent or otherwise illegal activities.

7) NEIRONIX has the right to share client information with:

- a) Investigative agencies or any authorized officers who are helping NEIRONIX comply with applicable law, including anti-money laundering laws and know-your-client obligations;
- b) Organizations that help NEIRONIX provide the services it offer its clients;
- c) Government, law enforcement agencies and courts;
- d) Regulatory bodies and financial institutions.

16. Activities that NEIRONIX considers possible indications of money laundering include:

- 1) The client showing unusual apprehension or reservations about NEIRONIX's anti-money laundering policies.
- 2) The client's interest in conducting financial transactions which are contrary to good business sense or are inconsistent with the client's business policy.
- 3) The client failing to provide legitimate sources for their funds.
- 4) The client providing false information regarding the source of their funds.
- 5) The client having a history of being the subject of news that is indicative of civil or criminal violations.
- 6) The client seems to be acting as a 'front man' for an unrevealed personality or business, and does not satisfactorily respond to requests for identifying this personality or business.
- 7) The client not being able to easily describe the nature of his/her industry.
- 8) The client frequently makes large deposits and demands dealing in cash only.
- 9) The client maintains multiple accounts and conducts an unusually high number of inter-account or 3rd party transactions.
- 10) The client's previously usually inactive account starts receiving a surge of wire activity.

The above list is by no means an exhaustive list. NEIRONIX monitors its client and account activity in light of several other red flags and takes appropriate measures to prevent money laundering.

## **KNOW YOUR CUSTOMER (KYC)**

17. The Purchaser acknowledges that he/she/it has to complete a KYC check for the Purchase of the Tokens, which must be in form and substance satisfactory to the NEIRONIX. KYC check is carried out after completing the Purchase of NRX Tokens by providing necessary information with regard to identification of the Purchaser, beneficial owner and origin of the funds, the scope and type of information depending on the type of Purchaser and the Purchase amount.

18. During the Purchaser's account registration process an individual purchaser provide the following identification information to the Company:

- 1) Purchaser's full name;
- 2) Purchaser's date and place of birth and the place of residence or seat;
- 3) Country of residence/location of customer;
- 4) Mobile telephone number and e-mail.

19. After receiving the identification information the Company's staff should verify this information requesting the appropriate documents. Appropriate documents for verifying the identity of Purchaser include, but are not limited to, the following: a high resolution scanned copy or photo of pages of a passport or any other national ID, indicating family name and name(s), date and place of birth, passport number, issue and expiry dates, country of issue and Purchaser's signature.

20. To verify proof of address of the User the Company requires one of the following to be provided, in the same correct name of the client: a high-resolution copy of pages of passport, indicating residence; a utility bill (fixed-line phone, water, electricity) issued within the last 3 months; A copy of a tax or rates bill from a local authority; A copy of a bank statement (for a current account, deposit account or credit card account); A copy of a bank reference letter.

21. The Purchaser is obliged to collaborate with regard to the AML/ KYC check and to provide any information and document deemed necessary by the Company.

22. The Company may reject any Purchaser in its sole discretion after the without being obliged to disclose any reason for the rejection.

23. In case the automatic procedures fail, the Company shall contact the Purchaser by email or other means to obtain the information and documents needed. In case the Purchaser does not provide the documents in the requested

form and any other information requested to satisfy the AML/KYC check within 10 (ten) days which are not public holidays in Estonia of the Company's request, NEIRONIX may reject the Purchaser and the costs for the AML/KYC check will be at the cost of the Purchaser. Additionally, the Company has the right to partially or fully withhold the received amounts for any costs or damages incurred by the Company. All kind of remittance fees either for fiat currency or for cryptocurrencies shall be borne by the Purchaser.